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**Conformal field theories in  $d = 4$  with a helical twist**Aristomenis Donos,<sup>1</sup> Jerome P. Gauntlett,<sup>2</sup> and Christiana Pantelidou<sup>3</sup><sup>1</sup>*Centre for Particle Theory, Department of Mathematical Sciences, Durham University, Durham DH1 3LE, United Kingdom*<sup>2</sup>*Blackett Laboratory, Imperial College London, SW7 2AZ, United Kingdom*<sup>3</sup>*Departament de Física Fonamental (FFN) and Institut de Ciències del Cosmos (ICC) Universitat de Barcelona (UB), Martí i Franquès 1, E-08028 Barcelona Spain*

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Within the context of holography we study the general class of  $d = 4$  conformal field theories (CFTs) after applying a universal helical deformation. At finite temperature we construct the associated black hole solutions of Einstein gravity, numerically, by exploiting a Bianchi VII<sub>0</sub> ansatz for the bulk  $D = 5$  metric. At  $T = 0$  we show that they flow in the IR to exactly the same CFT. The deformation gives rise to a finite, nonzero DC thermal conductivity along the axis of the helix, which we determine analytically in terms of black hole horizon data. We also calculate the AC thermal conductivity along this axis and show that it exhibits Drude-like peaks.

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**I. INTRODUCTION**

There has been significant recent interest in constructing black hole solutions that are holographically dual to conformal field theories (CFTs) deformed by operators which break translation invariance. One motivation for these studies is that the UV deformation provides a mechanism by which momentum can be dissipated in the conformal field theory giving rise to more realistic transport behavior without delta functions [1–16].<sup>1</sup> A second and interrelated motivation is that it provides a framework for seeking new ground states, both metallic and insulating, as well as transitions between them [4,7,10,11,21].

In this paper we analyze a specific helical deformation of  $d = 4$  conformal field theories which is appealing both because it is universal and because it is possible, at a technical level, to analyze it in some detail. The deformation consists of a helical source for the energy-momentum tensor of the CFT which breaks the spatial Euclidean symmetry down to a Bianchi VII<sub>0</sub> subgroup. This deformation is equivalent to considering the CFT not on four-dimensional Minkowski spacetime, but on the spacetime with line element

$$ds^2 = -dt^2 + \omega_1^2 + e^{2\alpha_0}\omega_2^2 + e^{-2\alpha_0}\omega_3^2. \quad (1)$$

Here  $\omega_i$  are the left-invariant one-forms associated with the Bianchi VII<sub>0</sub> algebra,

$$\begin{aligned} \omega_1 &= dx_1, & \omega_2 &= \cos kx_1 dx_2 - \sin kx_1 dx_3, \\ \omega_3 &= \sin kx_1 dx_2 + \cos kx_1 dx_3, \end{aligned} \quad (2)$$

<sup>1</sup>Another approach to study momentum dissipation is by using massive gravity; see e.g. Refs. [17–20].

with constant wave number  $k$ , and the constant  $\alpha_0$  parametrizes the strength of the helical deformation.

The black holes that are dual to these helical deformations at finite temperature are all solutions for the  $D = 5$  Einstein-Hilbert action with negative cosmological constant, and hence are relevant for the entire class of  $d = 4$  conformal field theories with an AdS<sub>5</sub> dual. As we will see, the ansatz for the  $D = 5$  metric is static and maintains the Bianchi VII<sub>0</sub> symmetry and hence constructing explicit black hole solutions just requires solving ordinary differential equations (ODEs) in the radial variable.<sup>2</sup>

Classically, the UV deformation parameter  $\alpha_0$  in Eq. (1) is a dimensionless number, but due to the conformal anomaly, a nontrivial dynamical scale is introduced. For a fixed dynamical scale, the system depends on the value of  $\alpha_0$  and on the dimensionless ratio  $k/T$ . When  $T = 0$ , we can study the effect of the  $\alpha_0$  deformation by considering a perturbative analysis about the AdS<sub>5</sub> vacuum. We find that the solution approaches exactly the same AdS<sub>5</sub> vacuum solution in the IR, with a simple renormalization of length scales. This is very similar to what is seen for the deformation of  $d = 3$  CFTs by a periodic chemical potential which averages to zero over a period [5], and is also reminiscent of some ground states of particular  $s$ -wave [29] and  $p$ -wave [28,30] superconductors.

To go beyond this perturbative analysis, and also to consider  $k/T \neq 0$ , we construct fully backreacted black hole solutions using a numerical shooting method and study their properties. For all values of  $\alpha_0$  that we have

<sup>2</sup>Bianchi VII<sub>0</sub> symmetry has arisen in various holographic constructions [22–28] including constructions with momentum dissipation [4,13].

considered, we show that as  $T/k \rightarrow 0$  the black holes approach  $T = 0$  solutions which interpolate between  $\text{AdS}_5$  in the UV and the same  $\text{AdS}_5$  in the IR, just as in the perturbative analysis. In particular, we find that for the ranges of parameters that we have considered, the deformations do not lead to any new ground states.

Following the construction of the black hole solutions we calculate the thermal AC conductivity as a function of frequency,  $\kappa(\omega)$ , by calculating the two-point function for the momentum operator  $T^{tx_1}$ . In fact the operators  $T^{tx_1}$  and  $T^{\omega_2\omega_3}$  mix and we calculate the full two-by-two matrix of AC conductivities, including contact terms. This calculation requires a careful treatment of gauge transformations and we employ, and also further develop, the method used in Refs. [7,14]. We observe Drude peaks in  $\kappa(\omega)$ , with an associated nonvanishing DC conductivity at finite  $T/k$ .

We also derive an analytic expression for the associated DC thermal conductivity in terms of the black hole horizon data, using the technique of Refs. [10,12]. In addition, analytic expressions for the other components of the matrix of DC conductivities are obtained in terms of UV data of the background black hole solutions. The DC calculation also leads to concise expressions for the static susceptibilities, the Green's functions at zero frequency, which agrees with the limit of the AC results.

## II. BLACK HOLE SOLUTIONS

We consider the five-dimensional Einstein-Hilbert action given by

$$S = \int d^5x \sqrt{-g}(R + 12), \quad (3)$$

where we have set  $16\pi G = 1$  and fixed the cosmological constant to be  $\Lambda = -6$  for convenience. The equations of motion are simply given by

$$R_{mn} = -4g_{mn}, \quad (4)$$

and admit a unique  $\text{AdS}_5$  vacuum solution, with unit radius, which is dual to a  $d = 4$  CFT.

The metric ansatz for the black hole solutions that we shall consider is given by

$$ds^2 = -gf^2 dt^2 + g^{-1} dr^2 + h^2 \omega_1^2 + r^2(e^{2\alpha} \omega_2^2 + e^{-2\alpha} \omega_3^2), \quad (5)$$

where  $g, f, h, \alpha$  are all functions of the radial coordinate,  $r$ , only and  $\omega_i$  are the left-invariant one-forms associated with the Bianchi VII<sub>0</sub> algebra given in Eq. (2). Clearly this ansatz is static with a Bianchi VII<sub>0</sub> symmetry. After substituting the ansatz into Eq. (4) we obtain the following system of ODEs:

$$\begin{aligned} f' + \frac{f(-2rh^2(g\alpha'^2 + 2) + r(2k^2 \sinh^2 2\alpha - gh'^2) + (g + 4r^2)hh')}{gh(rh' + 2h)} &= 0, \\ g' + \frac{2[h^2(r^2 g\alpha'^2 + g - 2r^2) + r^2(gh'^2 - k^2 \sinh^2 2\alpha) + r(g - 4r^2)hh']}{rh(rh' + 2h)} &= 0, \\ h'' + \frac{4rh'}{g} - \frac{4h}{g} + \frac{h'}{r} - \frac{h^2}{h} + \frac{2k^2 \sinh^2 2\alpha}{gh} &= 0, \\ \alpha'' + \frac{4r\alpha'}{g} + \frac{\alpha'}{r} - \frac{k^2 \sinh 4\alpha}{gh^2} &= 0. \end{aligned} \quad (6)$$

For future reference, note that the AdS-Schwarzschild black hole solution, describing the CFT at finite temperature  $T$  with no deformation, has  $g = r^2 - \frac{r_+^4}{r^2}$ ,  $f = 1$ ,  $h = r$  and  $\alpha = 0$ ,  $k = \text{constant}$ ,<sup>3</sup> with  $T = r_+/\pi$ .

Observe that the ansatz, and hence the equations of motion, preserves the parity transformation  $(x_1, k) \rightarrow -(x_1, k)$ , and is also invariant under the following three scaling symmetries:

$$\begin{aligned} r &\rightarrow \lambda r, & (t, x_2, x_3) &\rightarrow \lambda^{-1}(t, x_2, x_3), & g &\rightarrow \lambda^2 g; \\ x_1 &\rightarrow \lambda^{-1} x_1, & h &\rightarrow \lambda h, & k &\rightarrow \lambda k; \\ t &\rightarrow \lambda t, & f &\rightarrow \lambda^{-1} f; \end{aligned} \quad (7)$$

where  $\lambda$  is a constant.

<sup>3</sup>Alternatively, one can set  $k = 0$  and  $\alpha = \text{constant}$ , which can then be scaled to zero.

### A. UV and IR expansions

We now discuss the boundary conditions that we will impose on Eq. (6). In the UV, as  $r \rightarrow \infty$ , we demand that we have the asymptotic behavior given by

$$\begin{aligned} f &= f_0 \left( 1 + \frac{k^2}{12r^2} (1 - \cosh 4\alpha_0) - \frac{c_h}{r^4} + \frac{k^4}{96r^4} (3 + 4 \cosh 4\alpha_0 - 7 \cosh 8\alpha_0) - \frac{k^4 \log r}{6r^4} (\cosh 4\alpha_0 - \cosh 8\alpha_0) + \dots \right), \\ g &= r^2 \left( 1 - \frac{k^2}{6r^2} (1 - \cosh 4\alpha_0) - \frac{M}{r^4} + \frac{k^4 \log r}{3r^4} (\cosh 4\alpha_0 - \cosh 8\alpha_0) + \dots \right), \\ h &= r \left( 1 - \frac{k^2}{4r^2} (1 - \cosh 4\alpha_0) + \frac{c_h}{r^4} + \frac{k^4 \log r}{6r^4} (\cosh 4\alpha_0 - \cosh 8\alpha_0) + \dots \right), \\ \alpha &= \alpha_0 - \frac{k^2}{4r^2} \sinh 4\alpha_0 + \frac{c_\alpha}{r^4} - \frac{k^4 \log r}{12r^4} (\sinh 4\alpha_0 - 2 \sinh 8\alpha_0) + \dots \end{aligned} \quad (8)$$

The most important thing to notice is that this implies that the metric is approaching  $\text{AdS}_5$  with a helical deformation, with pitch  $2\pi/k$ , that is parametrized by  $\alpha_0$  as in Eq. (1). The expansion (8) is, in fact, specified in terms of six parameters  $M, f_0, c_h, \alpha_0, c_\alpha$  and  $k$ . The third scaling symmetry in Eq. (7) allows us to set  $f_0 = 1$  and we will do so later on. Note that the second scaling symmetry in Eq. (7) is not preserved by the UV ansatz. However, when combined with the first we deduce that under  $r \rightarrow \lambda r$  and rescaling the field theory coordinates by  $\lambda^{-1}$  the ansatz is preserved by the following scaling symmetries of the UV parameters:

$$\begin{aligned} f_0 &\rightarrow f_0, & \alpha_0 &\rightarrow \alpha_0, & k &\rightarrow \lambda k, \\ M &\rightarrow \lambda^4 M + \frac{(\lambda k)^4}{3} (\cosh 4\alpha_0 - \cosh 8\alpha_0) \log \lambda, \\ c_h &\rightarrow \lambda^4 c_h - \frac{(\lambda k)^4}{6} (\cosh 4\alpha_0 - \cosh 8\alpha_0) \log \lambda, \\ c_\alpha &\rightarrow \lambda^4 c_\alpha + \frac{(\lambda k)^4}{12} (\sinh 4\alpha_0 - 2 \sinh 8\alpha_0) \log \lambda. \end{aligned} \quad (9)$$

The log terms are associated with an anomalous scaling of physical quantities due to the conformal anomaly.

In the IR, we assume that we have a regular black hole Killing horizon located at  $r = r_+$ . We thus demand that as  $r \rightarrow r_+$  we can develop the expansion

$$\begin{aligned} g &= g_+(r - r_+) - \frac{4h_+^2 + k^2(1 - \cosh 4\alpha_+)}{2h_+^2} (r - r_+)^2 + \dots, \\ f &= f_+ + 0(r - r_+) + \dots, \\ h &= h_+ + \frac{4h_+^2 + k^2(1 - \cosh 4\alpha_+)}{4h_+ r_+} (r - r_+) + \dots, \\ \alpha &= \alpha_+ + \frac{k^2 \sinh 4\alpha_+}{4h_+^2 r_+} (r - r_+) + \dots \end{aligned} \quad (10)$$

This expansion is specified in terms of four parameters  $r_+, f_+, h_+$  and  $\alpha_+$ , with  $g_+$  fixed to be  $g_+ = 4r_+$ .

The equations of motion (6) consist of two first-order equations for  $g, f$  and two second-order equations for  $h, \alpha$

and hence a solution is specified by six constants of integration. On the other hand, we have ten parameters in the boundary conditions minus two for the remaining scaling symmetries (7). We thus expect to find a two-parameter family of solutions parametrized by the deformation parameter  $\alpha_0$  and  $k/T$ , both of which are dimensionless. Note that the presence of the conformal anomaly introduces an additional dynamical energy scale into the system which we will hold fixed to be unity throughout our analysis.

### B. Thermodynamics

To analyze the thermodynamics of the black hole solutions we need to calculate the on-shell Euclidean action. We analytically continue the time coordinate by setting  $t = -i\tau$ . Near  $r = r_+$ , the Euclidean solution takes the approximate form

$$\begin{aligned} ds_E^2 &\approx g_+ f_+^2 (r - r_+) d\tau^2 + \frac{dr^2}{g_+(r - r_+)} + h_+^2 dx_1^2 \\ &\quad + r_+^2 (e^{2\alpha_+} \omega_2^2 + e^{-2\alpha_+} \omega_3^2). \end{aligned} \quad (11)$$

The regularity of the solution at  $r = r_+$  is ensured by demanding that  $\tau$  is periodic with period  $\Delta\tau = 4\pi/(g_+ f_+)$ , corresponding to temperature  $T = (f_0 \Delta\tau)^{-1}$ . We can also read off the area of the event horizon and since we are working in units with  $16\pi G = 1$ , we deduce that the entropy density is given by

$$s = 4\pi r_+^2 h_+. \quad (12)$$

Following Refs. [31–35] we will consider the total Euclidean action,  $I_{\text{Tot}}$ , defined as

$$I_{\text{Tot}} = I + I_{ct} + I_{\text{Log}}, \quad (13)$$

where  $I = -iS$  and  $I_{ct}$  is given by the following integral on the boundary  $r \rightarrow \infty$ :

$$I_{ct} = \int d\tau d^3x \sqrt{-\gamma} \left( -2K + 6 + \frac{1}{2}R \right). \quad (14)$$

Here  $K$  is the trace of the extrinsic curvature of the boundary,  $\gamma_{\mu\nu}$  is the induced boundary metric and  $R$  is the associated Ricci scalar.  $I_{\text{Log}}$  is also needed for regularizing the action and is given by

$$I_{\text{Log}} = \int d\tau d^3x \sqrt{-\gamma} \frac{\log r}{8} \left( -\frac{2}{3}R^2 + 2R_{\mu\nu}R^{\mu\nu} \right), \quad (15)$$

where  $R_{\mu\nu}$  is the Ricci tensor associated with  $\gamma_{\mu\nu}$ . For our ansatz, with the induced boundary line element associated with  $\gamma_{\mu\nu}$  given by  $r^2$  times the metric in Eq. (1),

$$ds_\infty^2 = r^2(-dt^2 + dx_1^2 + e^{2\alpha_0}\omega_2^2 + e^{-2\alpha_0}\omega_3^2), \quad (16)$$

we have

$$\begin{aligned} I_{ct} &= \text{Vol}_3 \Delta\tau \lim_{r \rightarrow \infty} r^2 h f g^{1/2} \left[ 6 - 2g^{1/2} \left( \frac{2}{r} + \frac{f'}{f} + \frac{h'}{h} \right) \right. \\ &\quad \left. - g^{-1/2} g' - \frac{k^2 \sinh^2 2\alpha}{h^2} \right], \\ I_{\text{Log}} &= \text{Vol}_3 \Delta\tau \lim_{r \rightarrow \infty} \frac{r^2 f g^{1/2} k^4}{3h^3} \log r [\cosh 8\alpha - \cosh 4\alpha], \end{aligned} \quad (17)$$

where  $\text{Vol}_3 = \int dx_1 dx_2 dx_3$ . We next point out two equivalent ways to write the bulk part of the Euclidean action on-shell:

$$\begin{aligned} I_{\text{OS}} &= \text{Vol}_3 \Delta\tau \int_{r_+}^{\infty} dr (2rghf)' \\ &= \text{Vol}_3 \Delta\tau \int_{r_+}^{\infty} dr (r^2 h f g' + 2r^2 h g f')'. \end{aligned} \quad (18)$$

Notice that the first expression only receives contributions from the boundary at  $r \rightarrow \infty$  since  $g(r_+) = 0$ , while the second expression also receives contributions from  $r = r_+$ . We next define the free energy  $W = T[I_{\text{Tot}}]_{\text{OS}} \equiv w \text{Vol}_3$ . Using the UV and IR expansions we obtain the following expression for the free energy density:

$$\begin{aligned} w &= -M + \frac{k^4}{12} \sinh^4 2\alpha_0 \\ &= 3M + 8c_h - sT + \frac{k^4}{24} \sinh^2 2\alpha_0 (35 + 61 \cosh 4\alpha_0), \end{aligned} \quad (19)$$

and hence the Smarr-type formula

$$4M + 8c_h - sT + \frac{k^4}{2} \sinh^2 2\alpha_0 (3 + 5 \cosh 4\alpha_0) = 0. \quad (20)$$

Observe that under the scaling (9), the log terms drop out of Eq. (20).

We now compute the expectation value of the boundary stress-energy tensor following Ref. [32]. The relevant terms are given by<sup>4</sup>

$$\begin{aligned} \langle \tilde{T}^{\mu\nu} \rangle &\equiv \lim_{r \rightarrow \infty} \left[ -2K^{\mu\nu} + 2(K-3)\gamma^{\mu\nu} + R^{\mu\nu} - \frac{1}{2}\gamma^{\mu\nu}R \right. \\ &\quad \left. - \log r \left( \frac{K_1^{\mu\nu}}{4} - \frac{K_2^{\mu\nu}}{12} \right) + \dots \right], \end{aligned} \quad (21)$$

where

$$\begin{aligned} K_1^{\mu\nu} &= \frac{2}{\sqrt{-\gamma}} \frac{\delta}{\delta \gamma_{\mu\nu}} [\sqrt{-\gamma} R_{\rho\sigma} R^{\rho\sigma}], \\ K_2^{\mu\nu} &= \frac{2}{\sqrt{-\gamma}} \frac{\delta}{\delta \gamma_{\mu\nu}} [\sqrt{-\gamma} R^2], \end{aligned} \quad (22)$$

and explicit formulas can be found in Ref. [36]. Using the asymptotic expansion (8), one obtains the boundary stress-energy tensor, which we present in Appendix A. It is straightforward to explicitly show that the stress tensor is conserved,  $\nabla_\mu \tilde{T}^{\mu\nu} = 0$ , where the covariant derivative is with respect to the boundary metric (16), as expected. We can also calculate the trace of the energy-momentum tensor with respect to the boundary metric to get the conformal anomaly<sup>5</sup>

$$\begin{aligned} \tilde{T}^\mu{}_\mu &= -2 \left( \frac{1}{24} R^2 - \frac{1}{8} R_{ij} R^{ij} \right) \\ &= \frac{1}{r^4} \frac{k^4}{3} (\cosh(8\alpha_0) - \cosh(4\alpha_0)). \end{aligned} \quad (23)$$

We also note that with the stress tensor in hand, as further discussed in Appendix A, we can use the results of Ref. [37] to immediately recover the Smarr formula (20) and also the first law

$$\begin{aligned} \delta w &= -s\delta T + (8c_h + 2k^4 \sinh^2 2\alpha_0 (1 + 2 \cosh 4\alpha_0)) \frac{\delta k}{k} \\ &\quad - \frac{1}{4} (64c_\alpha + 3k^4 (2 \sinh 4\alpha_0 - 3 \sinh 8\alpha_0)) \delta \alpha_0. \end{aligned} \quad (24)$$

### C. Perturbative helical deformation about $\text{AdS}_5$

Before constructing the backreacted black hole solutions, it is illuminating to investigate, within perturbation theory, the impact of the helical deformation about  $\text{AdS}_5$  space-time (at  $T = 0$ ). Specifically, we focus on the small  $\alpha$  deformation given by

<sup>4</sup>Note that we will write  $T^{\mu\nu} = r^6 \tilde{T}^{\mu\nu}$  with  $T^{\mu\nu}$  then independent of  $r$ .

<sup>5</sup>Note that in the present setup the boundary metric satisfies  $\square R = 0$  and there is no ambiguity in the trace of the stress tensor.

$$\begin{aligned} g &= r^2 + \epsilon^2 \delta g, & f &= 1 + \epsilon^2 \delta f, \\ h &= r + \epsilon^2 \delta h, & \alpha &= 0 + \epsilon \delta \alpha. \end{aligned} \quad (25)$$

At first order in  $\epsilon$ , the Einstein equations (6) imply

$$\delta \alpha'' + \frac{5}{r} \delta \alpha' - \frac{4k^2}{r^4} \delta \alpha = 0, \quad (26)$$

while at second order we obtain

$$\begin{aligned} \delta g' + \frac{2}{r} g - \frac{8k^2}{3r} \delta \alpha^2 + \frac{2r^3}{3} \delta \alpha'^2 &= 0, \\ \delta f' + \frac{1}{r^2} (r \delta h' - \delta h) + \frac{8k^2}{3r^3} \delta \alpha^2 - \frac{2r}{3} \delta \alpha'^2 &= 0, \\ \delta h'' + \frac{3}{r} \delta h' - \frac{3}{r^2} \delta h + \frac{8k^2}{r^3} \delta \alpha^2 &= 0. \end{aligned} \quad (27)$$

Equation (26) can be solved analytically in terms of Bessel functions. Demanding that it is regular at the Poincaré horizon at  $r = 0$  and that it approaches  $\alpha_0$  as  $r \rightarrow \infty$  implies that

$$\delta \alpha = \frac{2k^2 \alpha_0}{r^2} K_2(2k/r). \quad (28)$$

Given this, one can then solve Eq. (27) in terms of Meijer G-functions, again subject to regularity at the horizon and suitable asymptotic falloff. Given the analytic solutions obtained, we find that in the far IR the metric becomes approximately

$$\begin{aligned} ds^2(\text{IR}) &\approx -r^2 dt^2 + \frac{dr^2}{r^2} + r^2 \left( 1 + \frac{8\alpha_0^2}{5} \right) dx_1^2 \\ &+ r^2 (dx_2^2 + dx_3^2). \end{aligned} \quad (29)$$

Thus, we see that at  $T = 0$ , the effect of a small  $\alpha_0$  deformation does not change the IR behavior away from the unit-radius  $\text{AdS}_5$ , apart from a renormalization of length scales in the  $x_1$  direction given by

$$\bar{\lambda} \equiv \sqrt{\frac{g_{x_1 x_1}(r \rightarrow 0)}{g_{x_1 x_1}(r \rightarrow \infty)}} = 1 + \frac{4\alpha_0^2}{5}. \quad (30)$$

In Sec. II E we will explicitly construct the full nonlinear  $T = 0$  solutions which interpolate between  $\text{AdS}_5$  in the UV and  $\text{AdS}_5$  in the IR, that agree with both the perturbative analysis just discussed, as well as the  $T \rightarrow 0$  limit of the black holes that we now discuss.

#### D. Numerical construction of black hole solutions

We construct the black hole solutions numerically. We solve the system of ODEs (6) with the asymptotics given by Eqs. (8) and (10) using a shooting method, for fixed values of  $\alpha_0$  and  $k$  and then cool them down to low temperatures. Recall that for a given dynamical scale, the parameters specifying the UV data are  $\alpha_0$  and the dimensionless ratio  $T/k$ . In practise we set  $k = 1$ .

In the left panel of Fig. 1 we display the free energy of the black hole solutions as a function of the deformation parameter  $\alpha_0$  and the dimensionless temperature ratio,  $T/k$ . As  $\alpha_0 \rightarrow 0$ , with the deformation being switched off, the solutions smoothly approach the AdS-Schwarzschild solution, as expected. For example, in Fig. 1 the red line denotes the free energy of the AdS-Schwarzschild solution (with  $T/k \rightarrow T$ ).

We can also examine the behavior of the solutions as the temperature is lowered to zero,  $T/k \rightarrow 0$ . An examination of the solutions shows that the entropy is going to zero with the power-law behavior  $s \sim T^3$ . This behavior is clearly seen in the right panel of Fig. 1 where we have plotted

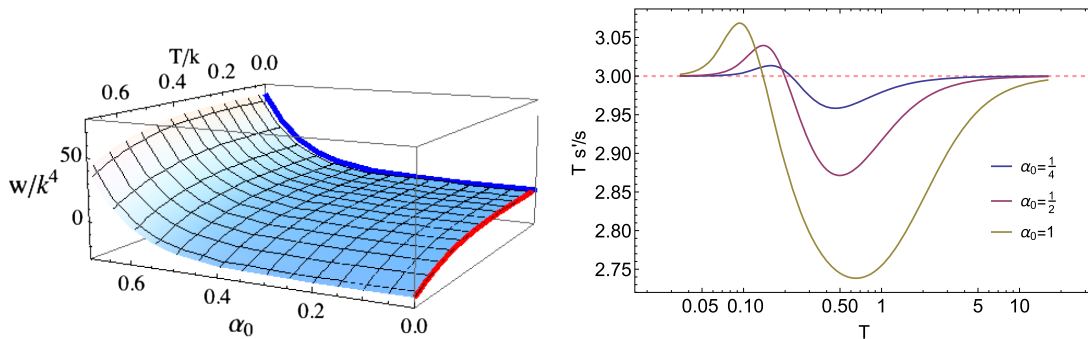


FIG. 1 (color online). The left panel plots the free energy,  $w$ , of the black holes constructed versus the deformation parameter,  $\alpha_0$ , and temperature,  $T$ , and we have divided by suitable powers of  $k$  to plot dimensionless quantities. The blue line is the free energy of the  $T = 0$  solutions, which were constructed independently, and the red line is the free energy of the AdS-Schwarzschild black holes. The right panel is a plot of the entropy exponent, given by  $Ts'/s$ , against  $T/k$  for the helical deformed black holes with  $\alpha_0 = 1/4$  (blue),  $\alpha_0 = 1/2$  (purple) and  $\alpha_0 = 1$  (olive). For low temperatures the exponent approaches 3, associated with the reappearance of  $\text{AdS}_5$  in the far IR.



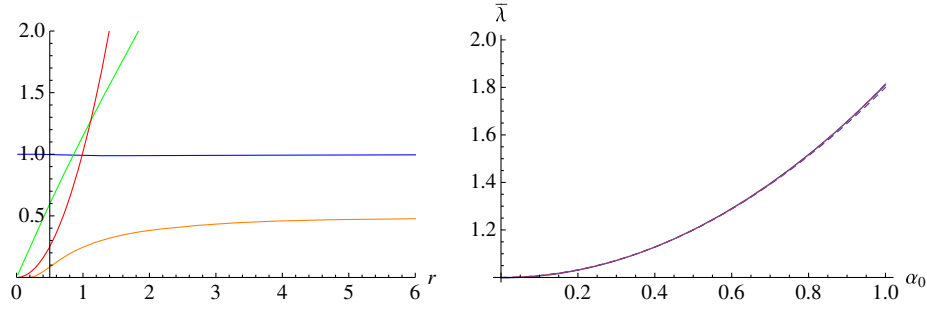


FIG. 2 (color online). Plots for the  $T = 0$  helical deformation solutions about  $\text{AdS}_5$ . The left panel plots the behavior of the metric functions  $g$  (red),  $f$  (blue),  $h$  (green) and  $\alpha$  (orange) against radius for the case of  $\alpha_0 = 1/2$ . We see that the solution smoothly approaches  $\text{AdS}_5$  in the far IR. The right panel plots the parameter  $\bar{\lambda}$ , defined in Eq. (30), which captures the renormalization of length scales, for various  $\alpha_0$ . The almost coincident dashed line is the behavior obtained using the perturbative expansion in Sec. II C.

$Ts'/s$  for three different deformation parameters,  $\alpha_0 = 1/4, 1/2$  and  $1$ . This behavior suggests that all of the black holes are approaching  $\text{AdS}_5$  in the far IR at  $T = 0$ . This conclusion is supported by an analysis of the behavior of the functions entering the metric. It is further supported by an explicit construction of  $T = 0$  solutions that interpolate between the same  $\text{AdS}_5$  in the UV and the IR, which we discuss in the next subsection. For example, the blue line in the left panel of Fig. 1 shows that the free energy of the black holes agrees with that of the  $T = 0$  solutions.

### E. Nonlinear helical deformation about $\text{AdS}_5$ at $T = 0$

We now construct  $T = 0$  solutions<sup>6</sup> that interpolate between  $\text{AdS}_5$  in the UV and in the IR. We find that their properties are precisely consistent with the  $T \rightarrow 0$  limit of the black hole solutions of the last subsection.

The UV expansion is the same as we had for the black holes given in Eq. (8). In the IR, as  $r \rightarrow 0$ , building on Eq. (28), we use the following double expansion:

$$\begin{aligned} g &= r^2 + \frac{k^3 \bar{\alpha}_+^2}{r} e^{-4k/\bar{h}_+ r} \left( 1 + \frac{5\bar{h}_+}{8k} r + \mathcal{O}(r^2) \right) + \dots, \\ f &= \bar{f}_+ - \frac{k^3 \bar{\alpha}_+^2 \bar{f}_+}{2r^3} e^{-4k/\bar{h}_+ r} \left( 1 + \frac{5\bar{h}_+}{8k} r + \mathcal{O}(r^2) \right) + \dots, \\ h &= \bar{h}_+ r - \frac{k^3 \bar{\alpha}_+^2 \bar{h}_+}{2r^2} e^{-4k/\bar{h}_+ r} \left( 1 + \frac{21\bar{h}_+}{8k} r + \mathcal{O}(r^2) \right) + \dots, \\ \alpha &= \frac{\bar{\alpha}_+ 2k^2}{\sqrt{\pi \bar{h}_+} r^2} K_2 \left( \frac{2k}{\bar{h}_+ r} \right) + \dots, \end{aligned} \quad (31)$$

where the neglected terms are  $\mathcal{O}(e^{-6k/\bar{h}_+ r})$ . In the far IR the metric approaches the  $\text{AdS}_5$  vacuum solution with the flow governed by  $k$ -dependent relevant modes, in the same spirit as in Refs. [5, 28]. This IR expansion is specified by three

<sup>6</sup>Note that we do not call these solutions “domain wall” solutions because the same  $\text{AdS}_5$  vacua is present at each end of the renormalization group flow.

dimensionless constants  $\bar{\alpha}_+, \bar{f}_+, \bar{h}_+$  and we observe, in particular, that  $\bar{f}_+$  and  $\bar{h}_+$  allow for a nontrivial renormalization of length scales between the UV and the IR. By a simple counting argument, we expect to find a one-parameter family of solutions parametrized by the deformation parameter  $\alpha_0$  (for a fixed dynamical scale).

We proceed by solving the equations of motion subject to the above boundary conditions, again using a shooting method. The behavior of the functions is summarized in the left panel of Fig. 2 for  $\alpha_0 = 1/2$  and we see that they smoothly interpolate between the same  $\text{AdS}_5$  in the UV and IR. Similar behavior is also seen for other values of  $\alpha_0 \neq 0$ . To see that these solutions are indeed the  $T \rightarrow 0$  limit of the black holes constructed in the previous subsection, we can compare the expectation values in the UV data of the domain wall solutions with those of the black hole solutions and we find precise agreement. For example, in the left panel of Fig. 1 we display the free energy density.

It is also interesting to note that the expansion (31), combined with the analytic  $\text{AdS}$ -Schwarzschild black hole [given just below Eq. (6)], allows us to obtain the low-temperature scaling behavior of the finite-temperature black holes. At low temperatures the radius of the black hole horizon will be related to the temperature via  $r_+ \sim \pi T / \bar{f}_+$ . Thus, the low-temperature scaling of the entropy density, for example, is given by a double expansion of the form

$$\begin{aligned} s &= 4\pi r_+^2 h(r_+) \sim \frac{4\bar{h}_+ \pi^4 T^3}{\bar{f}_+^3} \left( 1 - \frac{\bar{\alpha}_+^2 \bar{f}_+^3}{2\pi^3 T^3} e^{-4\bar{f}_+ k / \bar{h}_+ \pi T} + \dots \right) \\ &+ \dots, \end{aligned} \quad (32)$$

where the parameters on the right-hand side are as in Eq. (10).

In Sec. II C we have seen in the perturbative analysis for small  $\alpha_0$  that there is a renormalization of the length scale in going from the UV to the IR. By constructing the  $T = 0$  solutions for various  $\alpha_0$  we can plot the dependence of the

renormalization parameter  $\bar{\lambda}$ , defined in Eq. (30), as we vary  $\alpha_0$ , as shown in Fig. 2.

### III. TWO-POINT FUNCTIONS OF THE STRESS TENSOR

In this section we calculate two-point functions of the  $T^{tx_1}$  and  $T^{\omega_2\omega_3}$  components of the stress tensor, which mix in the  $\alpha$ -deformed helical backgrounds, at finite frequency,  $\omega$ , and zero spatial momentum. In particular, from the  $T^{tx_1}T^{tx_1}$  correlator we can obtain the AC thermal conductivity,  $\kappa(\omega)$ . We will use and further develop the method discussed in Refs. [7,14], building on Refs. [38,39].

#### A. The perturbation

We consider the following time-dependent perturbations,  $ds^2 \rightarrow ds^2 + \delta(ds^2)$ , around the background solutions that we constructed in Sec. II with

$$\begin{aligned} h_{23}'' - \frac{1}{r^2 f^2 g^2 h^2} (-2i\omega h_{tx_1} k r^4 \sinh 2\alpha + h_{23}' f^2 g h^2 (-4r^3 + 3rg) \\ + h_{23} [-r^2 \omega^2 h^2 + 2f^2 g k^2 r^2 (1 + \cosh 4\alpha) + 4f^2 g h^2 (2r^2 - g + gr^2 \alpha'^2)]) = 0, \\ h_{tx_1}'' - \frac{1}{r^2 g h^2 (2h + rh')} (h_{tx_1} 4r (4rh^3 + k^2 r^2 h' \sinh^2 2\alpha + 2rghh'^2 - 4r^2 h^2 h' + h^2 h' g - r^2 h^2 h' g \alpha'^2) \\ + h_{tx_1}' rh [-rhh'(4r^2 + g) + 2r^2 (gh'^2 - k^2 \sinh^2 2\alpha) + 4r^2 h^2 + 2h^2 g (-2 + r^2 \alpha'^2)] - 2i\omega h_{23} k h^2 (2h + rh') \sinh 2\alpha) = 0, \end{aligned} \quad (36)$$

and a first-order constraint equation

$$i\omega r^3 h^3 \left( \frac{h_{tx_1}}{h^2} \right)' + 2kr^3 f^2 g h \sinh^2 2\alpha \left( \frac{h_{23}}{r^2 \sinh 2\alpha} \right)' = 0. \quad (37)$$

One can check that the constraint equation combined with either one of the second-order equations implies the other second-order equation.

This set of coupled linear ODEs is to be solved numerically subject to the following boundary conditions. At the black hole horizon we demand in-going boundary conditions [38] and choose

$$\begin{aligned} h_{tx_1} &= (r - r_+)^{1 - \frac{i\omega}{4\pi T}} [h_{tx_1}^{(+)} + \mathcal{O}((r - r_+))], \\ h_{23} &= (r - r_+)^{-\frac{i\omega}{4\pi T}} [h_{23}^{(+)} + \mathcal{O}((r - r_+))]. \end{aligned} \quad (38)$$

Using the equations of motion we find that this expansion is fixed by only one parameter  $h_{tx_1}^{(+)}$  with

$$h_{23}^{(+)} = \frac{r_+ (4f_+ r_+ - i\omega)}{4(e^{2\alpha_+} - e^{-2\alpha_+}) f_+^2 k} h_{tx_1}^{(+)} \quad (39)$$

In the UV, as  $r \rightarrow \infty$ , we impose the following expansion:

$$\delta(ds^2) = 2\delta g_{tx_1}(t, r) dt dx_1 + 2\delta g_{23}(t, r) \omega_2 \omega_3. \quad (33)$$

It will be convenient to Fourier decompose our perturbations as

$$\begin{aligned} \delta g_{tx_1}(t, r) &= \int \frac{d\omega}{2\pi} e^{-i\omega t} h_{tx_1}(\omega, r), \\ \delta g_{23}(t, r) &= \int \frac{d\omega}{2\pi} e^{-i\omega t} h_{23}(\omega, r), \end{aligned} \quad (34)$$

and the reality of the perturbation implies that

$$\begin{aligned} \bar{h}_{tx_1}(\omega, r) &= h_{tx_1}(-\omega, r), \\ \bar{h}_{23}(\omega, r) &= h_{23}(-\omega, r). \end{aligned} \quad (35)$$

It is straightforward to show that this perturbation gives rise to two second-order equations

$$\begin{aligned} h_{tx_1} &= r^2 s_1 + \frac{i\omega k}{2} \sinh 2\alpha_0 s_2 + \frac{v_1}{r^2} + \dots, \\ h_{23} &= r^2 s_2 + \left( \frac{1}{2} i\omega k \sinh 2\alpha_0 s_1 + \frac{\omega^2}{4} s_2 - k^2 \cosh^2 2\alpha_0 s_2 \right) \\ &\quad + \frac{v_2}{r^2} + \dots, \end{aligned} \quad (40)$$

where the dots include terms involving logarithms. The constraint (37) implies the following relation for the UV data  $s_i$ ,  $v_i$ :

$$\begin{aligned} 64i\omega v_1 + 128k \sinh 2\alpha_0 v_2 + i\omega (-128c_h + 16k^4 \sinh 2\alpha_0^4) s_1 \\ - 4k(64c_a \cosh 2\alpha_0 + 4k^2 \sinh 2\alpha_0^3 (2k^2 - \omega^2 \\ + 2k^2 \cosh 4\alpha_0)) s_2 = 0. \end{aligned} \quad (41)$$

As already mentioned, it is sufficient to solve the first-order constraint equation (37) combined with the second-order equation for  $h_{23}$ , and hence a solution to the equations of motion is specified in terms of three integration constants. On the other hand, the UV and IR expansions are specified in terms of  $h_{tx_1}^{(+)}$ ,  $s_1$ ,  $s_2$ ,  $v_1$ , with  $v_2$  (say) determined from this data via the constraint. Since the ODEs we want to solve are linear, we are allowed to rescale one of the constants to unity; we



choose to set  $h_{tx_1}^{(+)} = 1$ . Thus, we are left with three nontrivial pieces of UV and IR data, which matches the number of integration constants in the problem.

### B. The Green's function

The two-point function matrix,  $G$ , is defined as

$$J_i = G_{ij} s_j, \quad (42)$$

where  $J_i$  are the linear-response currents that are generated by the sources  $s_i$ . Here the currents are the stress-tensor components defined, as usual, as the on-shell variations<sup>7</sup>

$$\begin{aligned} J_1 &= \langle T^{tx_1} \rangle = \lim_{r \rightarrow \infty} \frac{1}{\sqrt{-g_\infty}} \frac{\delta S^{(2)}}{\delta h_{tx_1}(r)}, \\ J_2 &= \langle T^{\omega_2 \omega_3} \rangle = \lim_{r \rightarrow \infty} \frac{1}{\sqrt{-g_\infty}} \frac{\delta S^{(2)}}{\delta h_{23}(r)}. \end{aligned} \quad (43)$$

To calculate the currents as a function of the sources, we consider a variation of the action that is quadratic in the perturbation and then put it on-shell. Doing so, after discarding some total derivatives in time, we find

$$\begin{aligned} \delta S^{(2)} &= \int dr d^2x \frac{d\omega}{2\pi} \left( \frac{r^2}{fh} \left( \frac{g'}{g} + 2 \frac{f'}{f} + 2 \frac{h'}{h} \right) h_{tx_1} \delta h_{tx_1} \right. \\ &\quad - \frac{r^2}{2fh} (h'_{tx_1} \delta h_{tx_1} + 2 h_{tx_1} \delta h'_{tx_1}) - \frac{4ghf}{r^3} h_{23} \delta h_{23} \\ &\quad \left. + \frac{fgh}{r^2} (h'_{23} \delta h_{23} + 2 h_{23} \delta h'_{23}) \right)' + \text{c.t.} + \log, \end{aligned} \quad (44)$$

where, for simplicity, we have not written out the contributions from the counterterms (c.t.) and log terms (log) [the Minkowski analogues of Eqs. (14) and (15)], which certainly play a key role, and e.g.  $h_{tx_1} \delta h_{tx_1} = h_{tx_1}(\omega) \delta h_{tx_1}(-\omega)$ . To obtain this expression we have substituted in Eq. (34) and carried out the integral over time as well as over one of the  $\omega$ 's. We next observe that the total derivative in  $r$  picks up contributions from the UV boundary and also, in principle, from the black hole horizon. We assume that the variation obeys the in-going boundary conditions at the black hole horizon analogous to Eq. (38). We then observe that since both  $g(r_+)$  and  $h_{tx_1}(r_+)$  vanish, there is only a potential contribution from the horizon from the last two terms. Inspired by Ref. [38] we discard these terms.

To proceed we take the variations of e.g.  $h_{tx_1}(\omega, r)$  and  $\bar{h}_{tx_1}(\omega, r)$  to be independent, with  $\omega \geq 0$  [see Eq. (35)]. More specifically, we are interested in variations with respect to the sources  $\{\delta s_i(\omega), \delta \bar{s}_i(\omega)\}$ . In fact, we can deduce that these are indeed the source terms by also allowing for variations of  $\delta v_i(\omega), \delta \bar{v}_i(\omega)$  and showing that the latter variations drop out. After some calculation we find

$$\delta S_\infty^{(2)} = \int d^2x \int_{\omega \geq 0} \frac{d\omega}{2\pi} (\delta \bar{s}_i(\omega) J_i(\omega) + \delta s_i(\omega) \bar{J}_i(\omega)), \quad (45)$$

now integrating just over<sup>8</sup>  $\omega \geq 0$ , and

$$\begin{aligned} J_1 &= s_1 \left( \frac{3}{32} k^4 - 3M + \frac{3}{8} k^2 \omega^2 - \frac{11}{24} k^4 \cosh 4\alpha_0 - \frac{3}{8} k^2 \omega^2 \cosh 4\alpha_0 + \frac{35}{96} k^4 \cosh 8\alpha_0 \right) \\ &\quad - s_2 \frac{i\omega k}{8} (10k^2 - 3\omega^2 + 14k^2 \cosh 4\alpha_0) \sinh 2\alpha_0 - 4v_1, \\ J_2 &= s_2 \left( -M - \frac{3}{32} k^4 + \frac{3}{8} k^2 \omega^2 - \frac{3}{16} \omega^4 - \frac{37}{24} k^4 \cosh 4\alpha_0 + \frac{9}{8} k^2 \omega^2 \cosh 4\alpha_0 - \frac{131}{96} k^4 \cosh 8\alpha_0 \right) \\ &\quad + s_1 \frac{3i\omega k}{8} (2k^2 - \omega^2 + 6k^2 \cosh 4\alpha_0) \sinh 2\alpha_0 + 4v_2, \end{aligned} \quad (46)$$

where  $\bar{J}_i$  are the complex conjugates of the  $J_i$ . At this stage one can use the constraint (41) to remove one of the  $v_i$ 's and continue with the calculation; however, we choose not to impose the constraint until the very end of the calculation as this keeps the formulas more symmetric.

To obtain the two-point function matrix,  $G_{ij}$ , we now want to differentiate the expression for the currents,  $J_i$ , with respect to the sources  $s_j$  via

$$G_{ij} = \partial_{s_j} J_i. \quad (47)$$

Taking the derivatives of the  $v_i$  with respect to the  $s_j$  is actually a bit subtle since, following the discussion at the end of Sec. III A, in the gauge we are using, we cannot independently vary the sources  $s_i$ . The resolution is to utilize gauge transformations and a key observation is that there is a residual gauge freedom that acts on the boundary data. Specifically, if we consider the

<sup>7</sup>Note that throughout we write e.g.  $\delta h_{x_1 t} = \delta h_{tx_1}$ , so that we have  $\frac{1}{2} T^{\mu\nu} \delta h_{\mu\nu} = T^{tx_1} \delta h_{tx_1} + \dots$ . This accounts for the absence of the usual factor of 2 in Eq. (43).

<sup>8</sup>Note that there is just a single term for  $\omega = 0$ .

coordinate transformation on the background solution given by

$$x_1 \rightarrow x_1 + e^{-i\omega t} \epsilon_0, \quad (48)$$

where the constant<sup>9</sup>  $\epsilon_0$  is of the same order as the perturbation, then this induces the residual gauge transformation acting on the metric perturbations:

$$\begin{aligned} h_{tx_1}(r, \omega) &\rightarrow h_{tx_1} - i\epsilon_0 \omega h^2, \\ h_{23}(r, \omega) &\rightarrow h_{23} - 2\epsilon_0 k r^2 \sinh 2\alpha. \end{aligned} \quad (49)$$

By expanding at the AdS boundary we find that this induces the transformations on the UV data:

$$\begin{aligned} s_1 &\rightarrow s_1 - i\epsilon_0 \omega, \\ v_1 &\rightarrow v_1 - 2i\epsilon_0 \omega \left( c_h + \frac{k^4}{8} \sinh^4 2\alpha_0 \right), \\ s_2 &\rightarrow s_2 - 2\epsilon_0 k \sinh 2\alpha_0, \\ v_2 &\rightarrow v_2 - \epsilon_0 k \cosh 2\alpha_0 (4c_\alpha + k^4 \cosh 2\alpha_0 \sinh^3 2\alpha_0). \end{aligned} \quad (50)$$

One can check that the constraint (41) is consistent with these transformations. In Appendix B we will show that these gauge transformations imply that the correct derivatives that should be used are given by

$$\begin{aligned} \partial_{s_1} v_1 &= \frac{(2k \sinh 2\alpha_0) v_1 - i\omega(2c_h + \frac{k^4}{4} \sinh^4 2\alpha_0) s_2}{2k \sinh 2\alpha_0 s_1 - i\omega s_2}, \\ \partial_{s_1} v_2 &= \frac{(2k \sinh 2\alpha_0) v_2 - k \cosh 2\alpha_0 (4c_\alpha + k^4 \cosh 2\alpha_0 \sinh^3 2\alpha_0) s_2}{2k \sinh 2\alpha_0 s_1 - i\omega s_2}, \\ \partial_{s_2} v_1 &= \frac{i\omega(2c_h + \frac{k^4}{4} \sinh^4 2\alpha_0) s_1 - i\omega v_1}{2k \sinh 2\alpha_0 s_1 - i\omega s_2}, \\ \partial_{s_2} v_2 &= \frac{k \cosh 2\alpha_0 (4c_\alpha + k^4 \cosh 2\alpha_0 \sinh^3 2\alpha_0) s_1 - i\omega v_2}{2k \sinh 2\alpha_0 s_1 - i\omega s_2}, \end{aligned} \quad (51)$$

as well as  $\partial_{s_i} s_j = \delta_{ij}$ . The derivatives  $\partial_{\bar{s}_i} \bar{v}_j$  are obtained by complex conjugation. One can check that these are consistent with the constraint (41).

After some calculation, using Eqs. (46), (47), (51) and (41), we find that

$$\begin{aligned} G_{11}(\omega) &= -16k^2 \sinh^2 2\alpha_0 \frac{\phi_2}{\phi_0} - T^t, \\ G_{22}(\omega) &= -4\omega^2 \frac{\phi_2}{\phi_0} + \frac{1}{2 \sinh 2\alpha_0} (T^{\omega_2 \omega_2} - T^{\omega_3 \omega_3}), \\ G_{12}(\omega) &= -G_{21}(\omega) = 8ik\omega \sinh 2\alpha_0 \frac{\phi_2}{\phi_0}, \end{aligned} \quad (52)$$

where  $T$  refers to the background stress tensor given in Eq. (A1) and  $\phi_0$  and  $\phi_2$  are the following gauge-invariant combinations:

$$\begin{aligned} \phi_0 &= s_1 - i \frac{s_2 \omega}{2k \sinh 2\alpha_0}, \\ \phi_2 &= \frac{v_1}{4k^2 \sinh 2\alpha_0^2} \\ &\quad - \frac{s_1}{64k^2} \left( 8k^4 - 3k^2 \omega^2 + 16k^4 \cosh 4\alpha_0 + \frac{32c_h}{\sinh^2 2\alpha_0} \right) \\ &\quad + i \frac{s_2 \omega}{128k \sinh 2\alpha_0} (10k^2 - 3\omega^2 + 14k^2 \cosh 4\alpha_0). \end{aligned} \quad (53)$$

<sup>9</sup>In Appendix B we will return to the fact that a constant gauge transformation violates the in-going boundary conditions at the black hole horizon.

Note that  $G_{12}(\omega) = -G_{21}(\omega)$  is expected since the deformation does not break time-reversal invariance and  $T^{tx_1}$  and  $T^{\omega_2 \omega_3}$  are odd and even operators under time reversal, respectively. In Appendix B, as an aside, we will show that by taking two derivatives of the on-shell action one does not recover the Green's function but rather the Hermitian combination  $G + G^\dagger$ .

Let us now consider the Green's functions in the limit that  $\omega \rightarrow 0$ , which gives the static susceptibilities. First we observe from Eqs. (36) and (37) that when  $\omega = 0$  an exact solution is given by  $\delta g_{tx_1} = 0$  and  $\delta g_{23} = s_2 r^2 \sinh 2\alpha / \sinh 2\alpha_0$ . In fact this zero-mode solution is obtained from the coordinate transformation  $x_1 \rightarrow x_1 - s_2 / (2k \sinh 2\alpha_0)$  on the background solution. Similarly, there is also another solution given by  $\delta g_{tx_1} = s_1 g f^2$  with  $\delta g_{23} = 0$ , which can be obtained from the background solution via  $t \rightarrow t - s_1 x_1$ . For both of these explicit solutions we can obtain the corresponding values of the expectation values  $v_2$  and  $v_1$  as explicit functions of  $s_2$  and  $s_1$  for each case, respectively. Calculating as above we deduce that<sup>10</sup>

<sup>10</sup>It is interesting to compare our results to that of AdS-Schwarzschild. Carrying out the above derivation we find that  $G_{11}(\omega) = -3M = -T^t$ ,  $G_{12}(\omega) = 0$  and  $G_{22}(\omega) = -M - 3\omega^4 / 16 + 4v_2 / s_2$ . Note that for  $G_{11}$  there is also a hidden delta function.

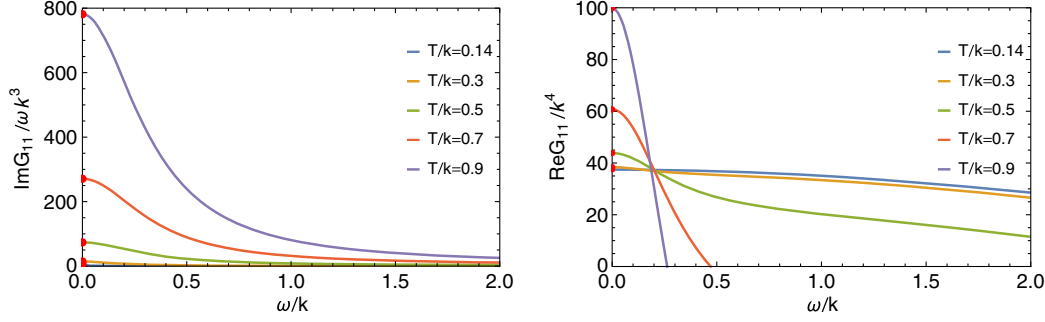


FIG. 3 (color online). Plot of the real and imaginary parts of the two-point function  $G_{11} \equiv \langle T^{tx_1} T^{tx_1} \rangle$ , suitably scaled with  $\omega$  and  $k$ , against  $\omega/k$ , suitably rescaled with  $k$ , for various values of the temperature for helical black holes with  $\alpha_0 = 1/2$ . The left panel shows the real part of the thermal conductivity,  $\text{Re}(T\kappa(\omega)) = \text{Im}(G_{11})/\omega$  with the red dots indicating the DC conductivity predicted from the results of Sec. IV. The right panel shows the real part of  $G_{11}$  and the red dots indicate the static susceptibilities derived in Eq. (54).

$$\begin{aligned} \lim_{\omega \rightarrow 0} G_{11}(\omega) &= T^{x_1 x_1}, \\ \lim_{\omega \rightarrow 0} G_{22}(\omega) &= \frac{1}{2 \sinh 2\alpha_0} (T^{\omega_2 \omega_2} - T^{\omega_3 \omega_3}), \\ \lim_{\omega \rightarrow 0} G_{12}(\omega) &= 0, \end{aligned} \quad (54)$$

where the stress-tensor components of the background geometry are given in Eq. (A4).

We now make some preliminary comments concerning the DC conductivity matrix, defined as

$$C_{ij} \equiv \lim_{\omega \rightarrow 0} \frac{\text{Im} G_{ij}(\omega)}{\omega}. \quad (55)$$

We will see from our numerical results in the next section that the component  $C_{11}$ , which fixes the DC thermal conductivity via  $C_{11} = T\kappa$ , is nonvanishing, and in Sec. IV we will obtain an analytic result in terms of black hole horizon data. Given this, and recalling Eq. (52), we see that we must have  $\text{Im}(\phi_2/\phi_0) \sim \omega$  as  $\omega \rightarrow 0$  and hence we have

$$C_{22} = 0. \quad (56)$$

On the other hand to obtain  $C_{12}$  and  $C_{21}$  we require the behavior of  $\text{Re}(\phi_2/\phi_0)$  as  $\omega \rightarrow 0$ . This can be obtained by comparing the results (54) with Eq. (52) and we deduce that the off-diagonal components of the DC conductivity matrix are given by

$$C_{12} = -C_{21} = -\frac{1}{2k \sinh 2\alpha_0} (T'' + T^{x_1 x_1}). \quad (57)$$

### C. Numerical results

As discussed above, it is sufficient to solve the first-order constraint equation (37) combined with the second-order equation for  $h_{23}$  given in Eq. (36), and hence a solution is specified in terms of three integration constants. In practice we exploit the fact that the equations are linear to set  $h_{tx_1}^{(+)} = 1$  and then the three integration constants are the  $s_i$  and  $v_i$  subject to the constraint (41). We solved the system using a standard shooting method.

In the left panel of Fig. 3 we have plotted the real part of the thermal conductivity  $\kappa$ , obtained via

$$T\kappa(\omega) \equiv \frac{G_{11}}{i\omega}, \quad (58)$$

for the helically deformed black holes with  $\alpha_0 = 1/2$ . Note that in this and subsequent plots, we have divided by suitable powers of  $k$  to plot dimensionless quantities. As the temperature is lowered we see the appearance of Drude-type peaks associated with the fact that we have broken translation invariance in the  $x_1$  direction. The red dots in this panel are the DC thermal conductivities that are obtained from an analytic result in terms of black hole horizon data, which we derive in Sec. IV. The right panel of Fig. 3 plots the real part of  $G_{11}$ .

In Fig. 4 we present the corresponding plots for  $G_{21}$  and  $G_{22}$  for the same background black holes with  $\alpha_0 = 1/2$ . We observe the Drude peaks in  $\text{Im}(G_{21})/\omega$  which, from Eq. (52), have the same origin as the Drude peaks in  $\text{Im}(G_{11})/\omega$ .

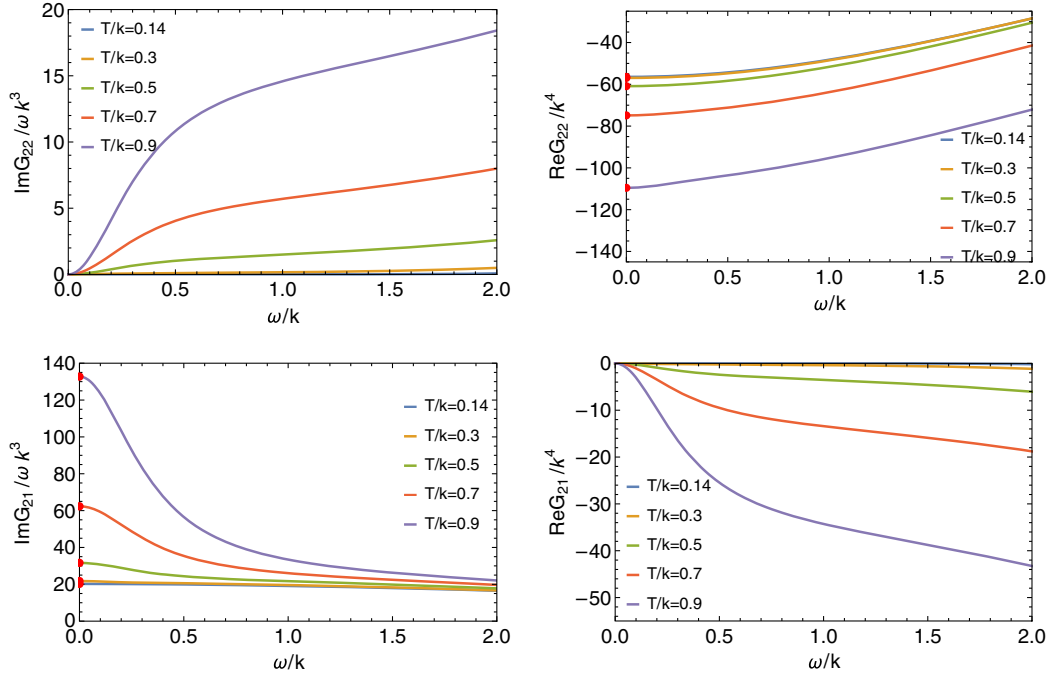


FIG. 4 (color online). Plot of the real and imaginary parts of the two-point functions  $G_{22} \equiv \langle T^{\omega_2 \omega_3} T^{\omega_2 \omega_3} \rangle$  and  $G_{21} \equiv \langle T^{tx_1} T^{\omega_2 \omega_3} \rangle$ , suitably scaled, against  $\omega/k$  for various values of the temperature for helical black holes with  $\alpha_0 = 1/2$ . In the top left plot we see that the DC conductivity  $C_{22} = 0$  as in Eq. (56). In the top right plot, the red dots indicate the static susceptibility derived in Eq. (54). In the bottom left plot, the red dots indicate the DC conductivity  $C_{21}$  derived in Eq. (57). In the bottom right plot we see that the static susceptibility vanishes in agreement with Eq. (54); we also see that this plot is a simple rescaling of that in the upper left plot, as expected from Eq. (52).

#### IV. DC THERMAL CONDUCTIVITY FROM THE BLACK HOLE HORIZON

In this section we will derive an expression for the thermal DC conductivity  $\kappa \equiv \lim_{\omega \rightarrow 0} \kappa(\omega)$  in terms of black hole horizon data, following the approach of Refs. [10,12]. The final result is given in Eq. (73). Recall that  $\kappa = C_{11}/T$ . We will also recover our previous results for the other DC conductivity matrix elements  $C_{22}$ , and  $C_{12}$ ,  $C_{21}$  given in Eqs. (56) and (57), respectively, as well as the static susceptibilities  $G_{ij}(\omega = 0)$  given in Eq. (54).

As explained in Refs. [10,12] the strategy is to switch on sources for the operators  $T^{tx_1}$  and  $T^{\omega_2 \omega_3}$  that are linear in time,  $s_i = b_i t$ , where  $b_i$  are constant parameters, and then read off the linear response. As shown in Appendix C of Ref. [12], the expectation values of the operators will then be given by

$$\begin{aligned} T^{tx_1}(t) &= [tG_{1j}(\omega = 0) - C_{1j}]b_j, \\ T^{\omega_2 \omega_3}(t) &= [tG_{2j}(\omega = 0) - C_{2j}]b_j, \end{aligned} \quad (59)$$

and hence, given the expectation values, we can deduce the DC conductivity matrix,  $C_{ij}$ , as well as the static susceptibility matrix,  $G_{ij}(\omega = 0)$ .

##### A. Linear-in-time source for $T^{tx_1}$

Following the construction of Refs. [10,12], we consider perturbations around the black holes of Sec. II of the form

$$\begin{aligned} g_{tx_1}(t, r) &= -\zeta t \delta F(r) + h_{tx_1}(r), \\ g_{rx_1}(r) &= h_{rx_1}(r), \quad g_{\omega_2 \omega_3}(r) = h_{23}(r), \end{aligned} \quad (60)$$

where  $\zeta$  is a constant. It is straightforward to show that the linearized Einstein equations reduce to one equation that can be algebraically solved for  $h_{rx_1}$  in terms of  $h_{23}$ ,  $h'_{23}$ ,

$$\begin{aligned} h_{rx_1} &= \frac{\zeta h}{2k^2 r g(2h + rh') \sinh^2 2\alpha} \\ &\times (-6r^2 h^2 + gh^2 + k^2 r^2 \sinh^2 2\alpha + 4rghh' \\ &+ r^2 gh'^2 - r^2 gh^2 \alpha^2) - \frac{h^2}{2k} \left( \frac{h_{23}}{r^2 \sinh 2\alpha} \right)', \end{aligned} \quad (61)$$

a second-order ODE for  $h_{tx_1}$ ,

$$\begin{aligned} h''_{tx_1} &= \frac{1}{rgh^2(2h + rh')} (h'_{tx_1} h [2r^2 gh'^2 + 2r^2 gh^2 \alpha^2 + 4r^2 h^2 \\ &- 4gh^2 - 4r^3 hh' - rghh' - 2k^2 r^2 \sinh^2 2\alpha] \\ &+ h_{tx_1} 4[4rh^3 - 4r^2 h^2 h' + 2rghh'^2 - r^2 gh^2 h' \alpha^2 \\ &+ gh^2 h' + k^2 r^2 \sinh^2 2\alpha h']), \end{aligned} \quad (62)$$

as well as a second-order ODE for  $\delta F$  which can be integrated to give

$$\delta F = f^2 g. \quad (63)$$

Now, following the same discussion as in Ref. [12], with this  $\delta F$  we deduce that

$$b_1 \equiv -\zeta \quad (64)$$

is parametrizing a time-dependent source for the heat current  $T^{tx_1}$ .

We next obtain a first integral for the equation of motion for  $h_{tx_1}$ . To do so we consider the two-tensor

$$G^{\mu\nu} = \nabla^\mu k^\nu, \quad (65)$$

where  $k = \partial_t$ . Using the equations of motion we can show that  $\partial_r(\sqrt{-g}G^{rx_1}) = 0$  and thus we can conclude that

$$Q = 2\sqrt{-g}G^{rx_1} = \frac{r^2 f^3 g^2}{h} \partial_r \left( \frac{h_{tx_1}}{g f^2} \right) \quad (66)$$

is a constant and hence can be evaluated at any value of  $r$ . By evaluating it at  $r \rightarrow \infty$  we will now show that  $Q$  is the time-independent part of the heat current,  $Q = T_0^{tx_1}$ . Evaluating  $Q$  at the horizon, after ensuring the perturbation is regular at the horizon, will lead to the final expression for the thermal DC conductivity  $\kappa$ .

Using Eq. (21) to calculate the stress-tensor component  $\tilde{T}^{tx_1}$  for the perturbed metric, at a general value of  $r$  and to first order in the perturbation, we can show that

$$\begin{aligned} Q &= \frac{r^2 f^3 g^2}{h} \partial_r \left( \frac{h_{tx_1}}{g f^2} \right) \\ &= r^2 f h \sqrt{g} (f^2 g \tilde{T}^{tx_1} - (h_{tx_1} - \zeta t g f^2) \tilde{T}^{x_1 x_1}), \end{aligned} \quad (67)$$

where  $\tilde{T}^{x_1 x_1}$  is a component of the stress tensor of the background given in Eq. (A4). Since  $Q$  is time independent, the time-dependent piece of  $\tilde{T}^{tx_1}$  must cancel with the time-dependent piece coming from the second term. In other words,

$$\tilde{T}^{tx_1} \equiv \tilde{T}_0^{tx_1} - \zeta t \tilde{T}^{x_1 x_1}, \quad (68)$$

where  $\tilde{T}_0^{tx_1}$  is time independent, and hence

$$Q = r^2 f h \sqrt{g} (f^2 g \tilde{T}_0^{tx_1} - h_{tx_1} \tilde{T}^{x_1 x_1}). \quad (69)$$

We will demand that  $h_{tx_1} \sim r^{-2}$  close to the boundary and hence the first term in the brackets dominates the second term and we conclude that at  $r \rightarrow \infty$  we have  $Q = r^6 \tilde{T}_0^{tx_1} = T_0^{tx_1}$  as claimed. Thus, we have

$$T^{tx_1} = Q - \zeta t T^{x_1 x_1}. \quad (70)$$

At this point, using Eq. (59) and recalling Eq. (64), we see that the explicit time dependence implies that  $G_{11}(\omega = 0) = T^{x_1 x_1}$ , in agreement with the static susceptibility derived earlier in Eq. (54).

To evaluate  $Q$  at the black hole horizon we need to know the behavior of  $h_{tx_1}$  as  $r \rightarrow r_+$ . Allowing  $h_{23}$  to be constant at the horizon, using Eq. (61) we see that  $h_{rx_1}$  diverges at the horizon as

$$h_{rx_1} = -\frac{\zeta h_+^2}{4k^2 \sinh^2 2\alpha_+} \frac{1}{(r - r_+)} + \dots \quad (71)$$

Notice that  $h_{23}$  is not constrained in any other way: we choose it so that  $h_{23}$  and also  $h_{rx_1}$  fall off fast enough as  $r \rightarrow \infty$  so that they do not contribute to any source as  $r \rightarrow \infty$ ; we will return to this point below. Now, given Eqs. (71) and (63), we ensure that the perturbation is regular at the horizon by using in-going Eddington-Finkelstein coordinates  $(v, r)$ , where  $v = t + \log(r - r_+)^{\frac{1}{g_+ f_+}}$ , and we deduce that the behavior of  $h_{tx_1}$  should be

$$\begin{aligned} h_{tx_1} &\sim f_+ g_+ (r - r_+) h_{rx_1}|_{r=r_+} \\ &\quad - \zeta f_+ (r - r_+) \ln(r - r_+) + \dots \end{aligned} \quad (72)$$

Importantly, one can check that this expansion can also be obtained directly from the near-horizon expansion of the differential equation for  $h_{tx_1}$  in Eq. (62). In fact this expansion imposes only a single condition at this boundary and, as we mentioned above, we impose that as  $r \rightarrow \infty$  we have the behavior  $h_{tx_1} \sim r^{-2}$ . Together these two conditions give a unique solution to the differential equation in Eq. (62). Having obtained a regular perturbation we can now use Eq. (66) to obtain an expression for  $Q$  evaluated at the horizon. Using Eq. (59) we have  $C_{11} = -T^{tx_1}/b_1 = -Q/b_1$  and since  $C_{11} = T\kappa$ , we deduce the following expression for the thermal conductivity  $\kappa$  in terms of horizon data:

$$\kappa = \frac{\pi s T}{k^2 \sinh^2 2\alpha_+}. \quad (73)$$

For the black hole backgrounds that we constructed explicitly in Sec. II, we have checked that this result agrees precisely with the  $\omega \rightarrow 0$  limit of the AC conductivity. This is displayed for a particular helical deformation, for various temperatures, in Fig. 3. We can also use the analytic result (73) to obtain the low-temperature behavior of the thermal conductivity for the helically deformed black holes. Indeed, following the analysis leading to Eq. (32), we find that for  $T \ll 0$  we have the leading-order behavior



$$\kappa \sim \left( \frac{\pi^8 \bar{h}_+}{\bar{\alpha}_+^2 \bar{f}_+^6 k^5} \right) T^7 e^{4\bar{f}_+ k / \bar{h}_+ \pi T}. \quad (74)$$

We now return to a point mentioned above. Consistent with Eq. (61) we choose the asymptotic expansion of  $h_{23}$  as  $r \rightarrow \infty$  to be given by

$$h_{23} = \zeta \left( \frac{k \sinh 2\alpha_0}{2} + \frac{32c_h + 8M - k^4 + k^4 \cosh 8\alpha_0}{16k \sinh 2\alpha_0 r^2} - \frac{k^3 \sinh 6\alpha_0 \log r}{3r^2} + \dots \right), \quad (75)$$

which ensures that the  $1/r$ ,  $1/r^3$  and  $\log r/r^3$  terms in the asymptotic expansion of  $h_{rx_1}$  all vanish. It is clear from Eq. (75) that the perturbation that we are considering does not have a non-normalizable source term, as claimed above. However, there is a corresponding expectation value for  $T^{\omega_2 \omega_3}$ . Indeed we find, using Eq. (21), that

$$T^{\omega_2 \omega_3} = \zeta \frac{8M + 32c_h + k^4 \sinh^2 2\alpha_0 (7 + 13 \cosh 4\alpha_0)}{4k \sinh 2\alpha_0}. \quad (76)$$

Comparing with Eq. (59) we conclude that

$$C_{21} = \frac{T^{\omega_2 \omega_3}}{\zeta} = \frac{1}{2k \sinh 2\alpha_0} (T'' + T^{x_1 x_1}), \quad (77)$$

as well as  $G_{21}(\omega = 0) = 0$  in agreement with Eqs. (57) and (54), respectively.

### B. Linear-in-time source for $T^{\omega_2 \omega_3}$

We now consider perturbations around the black holes of Sec. II of the form

$$g_{tx_1}(r) = h_{tx_1}(r), \quad g_{rx_1}(r) = h_{rx_1}(r), \quad (78)$$

$$g_{\omega_2 \omega_3}(t, r) = \zeta_2 t \delta F(r) + h_{23}(r),$$

where  $\zeta_2$  is a constant. After substituting in the equations of motion we find that it is consistent to take

$$\delta F = r^2 \sinh 2\alpha. \quad (79)$$

Note, for later use, that since  $\alpha \rightarrow \alpha_0$  at  $r \rightarrow \infty$ , the source is parametrized by

$$b_2 \equiv \zeta_2 \sinh 2\alpha_0. \quad (80)$$

We also find that we can solve for  $h_{rx_1}$  algebraically

$$h_{rx_1} = -\frac{h^2}{2k} \partial_r \frac{h_{23}}{r^2 \sinh 2\alpha}, \quad (81)$$

and we can also obtain a second-order differential equation for  $h_{tx_1}$  which, remarkably, we can cast in the form

$$\partial_r \tilde{Q} = 0, \quad (82)$$

where

$$\tilde{Q} = Q - \frac{\zeta_2}{k} r f g(h - r h'), \quad (83)$$

and  $Q$  is given in Eq. (66).

We next examine the regularity of the metric at the horizon. Considering  $g_{\omega_2 \omega_3}$  and using Eddington-Finkelstein coordinates we must have  $h_{23} \sim \frac{\zeta_2 r_+^2 \sinh 2\alpha_+}{g_+ f_+} \log(r - r_+)$ . Then using Eq. (81) we can deduce that  $h_{rx_1} \sim -\frac{\zeta_2 h_+^2}{2k g_+ f_+ (r - r_+)}$ . Again using Eddington-Finkelstein coordinates, this behavior of  $h_{rx_1}$  at the horizon implies that  $h_{tx_1} \sim h_{tx_1}^+$  with

$$h_{tx_1}^+ = -\frac{\zeta_2 h_+^2}{2k}. \quad (84)$$

We now return to the constant  $\tilde{Q}$ . Evaluating it at the horizon we have

$$\begin{aligned} \tilde{Q}(r_+) &= Q(r_+) \\ &= -\frac{r_+^2 f_+ g_+}{h_+} h_{tx_1}^+ \\ &= \zeta_2 \frac{T s}{2k} \\ &= \frac{\zeta_2}{2k} (T'' + T^{x_1 x_1}) \\ &\quad - \frac{\zeta_2}{2k} ([8c_h + 2k^4 \sinh^2 2\alpha_0 (1 + 4 \cosh \alpha_0)]). \end{aligned} \quad (85)$$

To get the first and second lines we used  $g(r_+) = 0$ , Eqs. (66) and (10). To get the third line we used Eq. (84), while the last line is obtained using the Smarr-type formula (20) as well as Eq. (A1). On the other hand, evaluating at  $r \rightarrow \infty$  we first find, using Eq. (21), that

$$\begin{aligned} \tilde{Q} &= r^2 f h \sqrt{g} \left( f^2 g \tilde{T}^{tx_1} - h_{tx_1} \tilde{T}^{x_1 x_1} \right. \\ &\quad \left. + \zeta_2 \left( \frac{k \sinh^2 2\alpha}{h^2} - \frac{g^{1/2} (h - r h')}{k r h} \right) \right). \end{aligned} \quad (86)$$

As  $r \rightarrow \infty$  we find that the first and last terms give a contribution leading to

$$\tilde{Q} = T^{tx_1} - \frac{\zeta_2}{2k} ([8c_h + 2k^4 \sinh^2 2\alpha_0 (1 + 4 \cosh \alpha_0)]), \quad (87)$$

and we thus have



$$T^{tx_1} = \frac{\zeta_2}{2k} (T'' + T^{x_1 x_1}). \quad (88)$$

Using Eq. (59) we now deduce that

$$C_{12} = -\frac{T^{tx_1}}{\zeta_2 \sinh 2\alpha_0} = -\frac{1}{2k \sinh 2\alpha_0} (T'' + T^{x_1 x_1}), \quad (89)$$

in agreement with Eq. (57), as well as  $G_{12}(\omega = 0) = 0$ , in agreement with Eq. (54).

Finally, returning to Eq. (81) and demanding that the  $1/r$ ,  $1/r^3$  and  $\log r/r^3$  terms in the asymptotic expansion of  $h_{rx_1}$  all vanish we deduce that the constant,  $1/r^2$  and  $\log r/r^2$  terms of  $h_{23}$  all vanish. Using Eq. (21) we then find

$$T^{\omega_2 \omega_3} = \frac{\zeta_2}{2} t(T^{\omega_2 \omega_2} - T^{\omega_3 \omega_3}). \quad (90)$$

Using Eq. (59) we thus recover the result (56) that  $C_{22} = 0$  and moreover  $G_{22}(\omega = 0) = \frac{1}{2 \sinh 2\alpha_0} (T^{\omega_2 \omega_2} - T^{\omega_3 \omega_3})$ .

## V. FINAL COMMENTS

Using holographic techniques we have analyzed in some detail a universal helical deformation that all  $d = 4$  CFTs possess. The deformation is specified by a wave number  $k$ , the strength of the deformation  $\alpha_0$  and a dynamical scale that is introduced due to the conformal anomaly. We constructed black hole solutions that describe the deformed CFTs at finite temperature for a range of  $k, \alpha_0$ . By analysing the low-temperature behavior of the black hole solutions we showed that the deformed CFTs approach, in the far IR, the undeformed UV CFTs, up to a renormalization of length scales. This is similar to what was seen in Ref. [5] for the deformation of  $d = 3$  CFTs by a periodic

chemical potential which averages to zero over a period.

We calculated the AC thermal conductivity along the axis of the helix and showed that it exhibited Drude peaks. This involved a careful calculation of the two-point functions for the  $T^{tx_1}$  and  $T^{\omega_2 \omega_3}$  components which mix in the deformed background. We also obtained an analytic result for the DC conductivities in terms of black horizon data, by switching on sources that are linear in time, following Refs. [10,12], finding a satisfying agreement with the AC results, including the static susceptibilities.

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## APPENDIX A: BOUNDARY ENERGY-MOMENTUM TENSOR

Here we record the explicit expressions for the components of the energy-momentum tensor  $\tilde{T}^{\mu\nu}$  defined in Eq. (21). Writing  $T^{\mu\nu} = r^6 \tilde{T}^{\mu\nu}$  and after setting  $f_0 = 1$ , we find

$$\begin{aligned} T'' &= 3M + 8c_h + \frac{k^4}{24} \sinh^2 2\alpha_0 (35 + 61 \cosh 4\alpha_0), \\ T^{x_1 x_1} &= M + 8c_h + \frac{k^4}{24} \sinh^2 2\alpha_0 (49 + 95 \cosh 4\alpha_0), \\ T^{x_2 x_2} &= \cosh 2\alpha_0 (M + 8c_a \cos 2kx_1) \\ &\quad + \sinh 2\alpha_0 \left( -8c_a - \frac{1}{96} \cos 2kx_1 (96M + k^4 (33 + 148 \cosh 4\alpha_0 + 107 \cosh 8\alpha_0)) + \frac{1}{48} \sinh 4\alpha_0 k^4 (-35 + 107 \cosh 4\alpha_0) \right), \\ T^{x_3 x_3} &= \cosh 2\alpha_0 (M - 8c_a \cos 2kx_1) \\ &\quad + \sinh 2\alpha_0 \left( -8c_a + \frac{1}{96} \cos 2kx_1 (96M + k^4 (33 + 148 \cosh 4\alpha_0 + 107 \cosh 8\alpha_0)) + \frac{1}{48} \sinh 4\alpha_0 k^4 (-35 + 107 \cosh 4\alpha_0) \right), \\ T^{x_2 x_3} &= \sin 2kx_1 \left( -8c_a \cosh 2\alpha_0 + \frac{\sinh 2\alpha_0}{96} (96M + k^4 (33 + 148 \cosh 4\alpha_0 + 107 \cosh 8\alpha_0)) \right). \end{aligned} \quad (A1)$$

If we set  $\alpha_0 = 0$ , the above agrees with the results of Ref. [28] in the absence of matter fields.

We also note that we can use the results of Ref. [37] to recover the two expressions for the free energy that we obtained directly in the text. Specifically, Eqs. (2.15) and (2.14) of Ref. [37] imply that

$$\begin{aligned}
w &= -Ts - \frac{k}{2\pi} \int_0^{2\pi/k} dx_1 \sqrt{-\gamma} (\tilde{T}^{tt} \gamma_{tt}) \\
&= -\frac{k}{2\pi} \int_0^{2\pi/k} dx_1 \sqrt{-\gamma} (\tilde{T}^{x^2 x^2} \gamma_{x^2 x^2} + \tilde{T}^{x^2 x^3} \gamma_{x^2 x^3}) \\
&= -\frac{k}{2\pi} \int_0^{2\pi/k} dx_1 \sqrt{-\gamma} (\tilde{T}^{x^3 x^2} \gamma_{x^3 x^2} + \tilde{T}^{x^3 x^3} \gamma_{x^3 x^3}). \quad (\text{A2})
\end{aligned}$$

The first expression gives the first line of Eq. (19), while the second and third expressions give the second line. We can also check that the expression for the stress tensor satisfies the condition (2.18) of Ref. [37]. Finally, the first law given in Eq. (2.13) of Ref. [37] implies that

$$\begin{aligned}
\delta w &= -s\delta T + (8c_h + 2k^4 \sinh^2 2\alpha_0 (1 + 2 \cosh 4\alpha_0)) \frac{\delta k}{k} \\
&\quad - \frac{1}{4} (64c_\alpha + 3k^4 (2 \sinh 4\alpha_0 - 3 \sinh 8\alpha_0)) \delta\alpha_0. \quad (\text{A3})
\end{aligned}$$

It is also illuminating to write the stress-tensor components in the  $x_2, x_3$  sector with respect to the basis of vectors dual to the left-invariant one-forms  $\omega_i$ . Writing  $T = T^{tt} v_t v_t + T^{\omega_i \omega_j} v_i v_j$  with  $v_t = \partial_t$ ,  $v_{x_1} = \partial_{x_1}$ ,  $v_2 = \cos kx_1 \partial_{x_2} - \sin kx_1 \partial_{x_3}$  and  $v_3 = \sin kx_1 \partial_{x_2} + \cos kx_1 \partial_{x_3}$  we obtain the diagonal components:

$$\begin{aligned}
T^{tt} &= 3M + 8c_h + \frac{k^4}{192} (1 - e^{-4\alpha_0})^2 (61 + 70e^{4\alpha_0} + 61e^{8\alpha_0}), \\
T^{\omega_1 \omega_1} &= M + 8c_h + \frac{k^4}{192} (1 - e^{-4\alpha_0})^2 (95 + 98e^{4\alpha_0} + 95e^{8\alpha_0}), \\
T^{\omega_2 \omega_2} &= -\frac{1}{96} e^{-2\alpha_0} [3(-32M + k^4 - 256c_\alpha) + k^4(-4 \cosh 4\alpha_0 + \cosh 8\alpha_0 - 72 \sinh 4\alpha_0 + 108 \sinh 8\alpha_0)], \\
T^{\omega_3 \omega_3} &= -\frac{1}{96} e^{2\alpha_0} [3(-32M + k^4 + 256c_\alpha) + k^4(-4 \cosh 4\alpha_0 + \cosh 8\alpha_0 + 72 \sinh 4\alpha_0 - 108 \sinh 8\alpha_0)]. \quad (\text{A4})
\end{aligned}$$

We can also determine the anomalous scaling behavior of the energy-momentum tensor. Under the scaling transformations given in Eq. (9), we find that

$$T^{\mu\nu} \rightarrow \lambda^4 T^{\mu\nu} + (\lambda k)^4 \log \lambda h^{\mu\nu}, \quad (\text{A5})$$

where in the dual basis used in Eq. (A4) we have

$$\begin{aligned}
h^{tt} &= -A/3, & h^{\omega_1 \omega_1} &= -A, \\
h^{\omega_2 \omega_2} &= e^{-2\alpha_0} (A/3 + B), & h^{\omega_3 \omega_3} &= e^{2\alpha_0} (A/3 - B), \quad (\text{A6})
\end{aligned}$$

with  $A \equiv \cosh 4\alpha_0 - \cosh 8\alpha_0$  and  $B \equiv \frac{2}{3} (\sinh 4\alpha_0 - 2 \sinh 8\alpha_0)$ . Notice that the tensor  $h$  is traceless with respect to the boundary metric (1), consistent with Eq. (23).

## APPENDIX B: DERIVATIVES OF THE $v_j$ WITH RESPECT TO THE $s_i$

In this appendix we will derive the expressions for the derivatives  $\partial_{s_j} v_i$  given in Eq. (51) that we used to obtain the Green's function. This provides a further development of the approach described in Ref. [7], which we will also describe in the next subsection.

To properly take into account gauge transformations in forming the derivatives we argue as follows. We first consider a solution to the perturbed equations of motion (36) and (37), that is specified by the UV expansion parameters [appearing in Eq. (40)] given by  $(s_1^r, s_2^r, v_1^r, v_2^r)$

and that satisfies in-going boundary conditions at the black hole horizon. We next consider a pure gauge solution that is obtained by taking  $x_1 \rightarrow x_1 + e^{-i\omega t} \epsilon$  in the background solution. If  $\epsilon$  is a constant,  $\epsilon_0$ , then this will preserve the gauge but violate the in-going boundary conditions at the black hole horizon. This can be remedied by taking  $\epsilon$  to be a function of  $r$  that vanishes at the horizon and approaches  $\epsilon_0$  at the UV boundary with a suitably fast falloff in  $r$ . This latter condition will ensure that while this transformation will generate  $h_{x_1 r}$  terms in the perturbation, taking us outside our gauge, this will not have any additional impact on the UV data over and above that given in Eq. (50). We can therefore parametrize a general class of solutions with parameters  $(\zeta, \epsilon_0)$  via the UV data

$$\begin{aligned}
s_1 &= s_1^r \zeta + s_1^g \epsilon_0, \\
s_2 &= s_2^r \zeta + s_2^g \epsilon_0, \\
v_1 &= v_1^r \zeta + v_1^g \epsilon_0, \\
v_2 &= v_2^r \zeta + v_2^g \epsilon_0, \quad (\text{B1})
\end{aligned}$$

where

$$\begin{aligned}
s_1^g &= -i\omega, & v_1^g &= -2i\omega \left( c_h + \frac{k^4}{8} \sinh^4 2\alpha_0 \right), \\
s_2^g &= -2k \sinh 2\alpha_0, \\
v_2^g &= -k \cosh 2\alpha_0 (4c_\alpha + k^4 \cosh 2\alpha_0 \sinh^3 2\alpha_0). \quad (\text{B2})
\end{aligned}$$

Next we observe that the first two equations in Eq. (B1) imply

$$\zeta = \frac{s_1 s_2^g - s_2 s_1^g}{s_1^r s_2^g - s_2^r s_1^g}, \quad \epsilon_0 = \frac{s_1^r s_2 - s_2^r s_1}{s_1^r s_2^g - s_2^r s_1^g}. \quad (\text{B3})$$

We can also obtain analogous expressions using the second two equations and by equating these with Eq. (B3) we obtain the relations

$$\begin{aligned} v_1^r &= \frac{v_1^g s_2^r s_1 - v_1 s_2^r s_1^g - v_1^g s_2 s_1^r + v_1 s_2 s_1^r}{s_1 s_2^g - s_2 s_1^g}, \\ v_2^r &= \frac{v_2^g s_2^r s_1 - v_2 s_2^r s_1^g - v_2^g s_2 s_1^r + v_2 s_2 s_1^r}{s_1 s_2^g - s_2 s_1^g}. \end{aligned} \quad (\text{B4})$$

We next calculate

$$\partial_{s_i} v_j = v_j^r \partial_{s_i} \zeta + v_j^g \partial_{s_i} \epsilon_0, \quad (\text{B5})$$

and then using Eq. (B3) we obtain

$$\begin{aligned} \partial_{s_1} v_1 &= \frac{v_1^r s_2^g - s_2^r v_1^g}{s_1^r s_2^g - s_2^r s_1^g}, \\ \partial_{s_2} v_1 &= \frac{s_1^r v_1^g - v_1^r s_1^g}{s_1^r s_2^g - s_2^r s_1^g}, \\ \partial_{s_1} v_2 &= \frac{v_2^r s_2^g - s_2^r v_2^g}{s_1^r s_2^g - s_2^r s_1^g}, \\ \partial_{s_2} v_2 &= \frac{s_1^r v_2^g - v_2^r s_1^g}{s_1^r s_2^g - s_2^r s_1^g}. \end{aligned} \quad (\text{B6})$$

Note that the same procedure as in Eq. (B5) gives the expected  $\partial_{s_i} s_j = \delta_j^i$ . It is important to emphasize that the results in Eq. (B6) are gauge invariant in the sense that they are unchanged under the shift of  $(s_1^r, s_2^r, v_1^r, v_2^r)$  by an arbitrary amount of  $(s_1^g, s_2^g, v_1^g, v_2^g)$ . Consistent with this, using Eq. (B4), the quantities with an  $r$  superscript in Eq. (B6) can be replaced by those without. After

substituting the expressions (B2) into Eq. (B6), we obtain the results quoted in the main text (51).

### 1. The approach of Ref. [7]

We briefly comment on the approach for obtaining the Green's function from the currents, which was used in Ref. [7] and also in Ref. [14]. The basic idea is to calculate the components  $G_{i1}$  by working in a gauge  $s_2 = 0$  via

$$G_{i1} = \frac{J_i}{s_1} \Big|_{s_2=0}, \quad (\text{B7})$$

and similarly the components  $G_{i2}$  by working in a gauge  $s_1 = 0$  via

$$G_{i2} = \frac{J_i}{s_2} \Big|_{s_1=0}. \quad (\text{B8})$$

Let us first consider the gauge  $s_2 = 0$ . If we have a solution satisfying the in-falling boundary conditions with UV data given by  $(s_1^r, v_1^r)$  then we can consider a gauge transformation  $x_1 \rightarrow x_1 + e^{-i\omega t} \epsilon(r)$ , with  $\epsilon(r)$  approaching the constant  $\epsilon_0$  at the UV boundary, with additional properties as described earlier in this appendix. If we choose  $\epsilon_0 = \frac{s_2^r}{2k \sinh 2\alpha_0}$  we obtain [setting  $\zeta = 1$  in Eq. (B1)]

$$\begin{aligned} (s_1, s_2) &= \left( s_1^r - \frac{i\omega}{2k \sinh 2\alpha_0} s_2^r, 0 \right), \\ v_1 &= v_1^r - \frac{i\omega}{2k \sinh 2\alpha_0} \left( 2c_h + \frac{k^4}{4} \sinh^4 2\alpha_0 \right) s_2^r, \\ v_2 &= v_2^r - \coth 2\alpha_0 \left( 2c_\alpha + \frac{k^4}{2} \cosh 2\alpha_0 \sinh^3 2\alpha_0 \right) s_2^r, \end{aligned} \quad (\text{B9})$$

and we conclude that in this gauge we have

$$\begin{aligned} \frac{v_1}{s_1} \Big|_{s_2=0} &= \frac{(2k \sinh 2\alpha_0) v_1^r - i\omega (2c_h + \frac{k^4}{4} \sinh^4 2\alpha_0) s_2^r}{2k \sinh 2\alpha_0 s_1^r - i\omega s_2^r}, \\ \frac{v_2}{s_1} \Big|_{s_2=0} &= \frac{(2k \sinh 2\alpha_0) v_2^r - k \cosh 2\alpha_0 (4c_\alpha + k^4 \cosh 2\alpha_0 \sinh^3 2\alpha_0) s_2^r}{2k \sinh 2\alpha_0 s_1^r - i\omega s_2^r}, \end{aligned} \quad (\text{B10})$$

which combined with Eqs. (B7) and (46) will give the same result for  $G_{i1}$  as in Eq. (51).

Alternatively, one can achieve  $s_1 = 0$  by performing a gauge transformation with  $\epsilon_0 = \frac{-is_1^r}{\omega}$ , so that

$$\begin{aligned}(s_1, s_2) &= \left(0, s_2^r + \frac{2ik \sinh 2\alpha_0}{\omega} s_1^r\right), \\ v_1 &= v_1^r - \left(2c_h + \frac{k^4}{4} \sinh^4 2\alpha_0\right) s_1^r, \\ v_2 &= v_2^r + \frac{ik}{\omega} (4c_a \cosh 2\alpha_0 + k^4 \cosh^2 2\alpha_0 \sinh^3 2\alpha_0) s_1^r,\end{aligned}\tag{B11}$$

and hence

$$\begin{aligned}\left.\frac{v_1}{s_2}\right|_{s_1=0} &= \frac{i\omega(2c_h + \frac{k^4}{4} \sinh^4 2\alpha_0) s_1^r - i\omega v_1^r}{2k \sinh 2\alpha_0 s_1^r - i\omega s_2^r}, \\ \left.\frac{v_2}{s_2}\right|_{s_1=0} &= \frac{k \cosh 2\alpha_0 (4c_a + k^4 \cosh^2 2\alpha_0 \sinh^3 2\alpha_0) s_1^r - i\omega v_2^r}{2k \sinh 2\alpha_0 s_1^r - i\omega s_2^r}.\end{aligned}\tag{B12}$$

Combining this with Eqs. (B7) and (46) will give the same result for  $G_{i2}$  as in Eq. (51).

### APPENDIX C: DERIVATIVES OF THE ON-SHELL ACTION AND THE RELATIONSHIP TO THE GREEN'S FUNCTION

As emphasized in Ref. [38] evaluating the on-shell action and then taking two derivatives with respect to the sources should give a real quantity. Thus, despite some claims to the contrary in the literature, the evaluated on-shell action does

not provide a method to obtain the Green's function directly. In this appendix, we investigate this in a little more detail as it provides a nice consistency check on the procedures we have used.

The on-shell Minkowski action at second order in the perturbation can be written in the form

$$\begin{aligned}S^{(2)} &= \int dr d^2x \frac{d\omega}{2\pi} \left( \frac{r^2}{2fh} \left( \frac{g}{g} + 2\frac{f'}{f} + 2\frac{h'}{h} \right) h_{tx_1}^2 \right. \\ &\quad \left. - \frac{3r^2}{2fh} h_{tx_1} h'_{tx_1} - \frac{2ghf}{r^3} h_{23}^2 + \frac{3fgh}{2r^2} h_{23} h'_{23} \right)' \\ &\quad + \text{c.t.} + \log\end{aligned}\tag{C1}$$

where, for ease of presentation, we have not written out the contributions from the counterterms and log terms [the Minkowski analogues of Eqs. (14) and (15)] and e.g.  $h_{tx_1}^2 = h_{tx_1}(\omega)h_{tx_1}(-\omega)$ . To get this expression we have used the second-order equations for the perturbation as well as the background equations of motion and carried out the integral over time. In particular, there are some total time derivatives which give no contribution. We next observe that the total derivative in  $r$  picks up contributions from the UV boundary and potentially the black hole horizon. In fact since both  $g(r_+)$  and  $h_{tx_1}(r_+)$  vanish there is only a contribution from the horizon from the last term, but this vanishes after integrating over all  $\omega$  (this is in contrast to statements made in Ref. [38]).

Thus, using the UV expansions for the background, Eq. (8), and the perturbation, Eq. (40), along with the constraint (41), we find that the on-shell action (C1) can be written as

$$\begin{aligned}S_\infty^{(2)} &= \int d^2x \int_{\omega \geq 0} \frac{d\omega}{2\pi} \left( \frac{s_2 \bar{s}_2}{96} (-148k^4 \cosh 4\alpha_0 - 131k^4 \cosh 8\alpha_0 + 108k^2 \omega^2 \cosh 4\alpha_0 - 9k^4 + 36k^2 \omega^2 - 96M - 18\omega^4) \right. \\ &\quad + \frac{s_1 \bar{s}_1}{96} (-44k^4 \cosh 4\alpha_0 + 35k^4 \cosh 8\alpha_0 - 36k^2 \omega^2 \cosh 4\alpha_0 + 9k^4 + 36k^2 \omega^2 - 288M) \\ &\quad + \frac{s_1 \bar{s}_2}{8} i\omega k (-3\omega^2 + 8k^2 + 16k^2 \cosh 4\alpha_0) \sinh 2\alpha_0 - \frac{\bar{s}_1 s_2}{8} i\omega k (-3\omega^2 + 8k^2 + 16k^2 \cosh 4\alpha_0) \sinh 2\alpha_0 \\ &\quad \left. + 2(s_2 \bar{v}_2 + \bar{s}_2 v_2 - s_1 \bar{v}_1 - \bar{s}_1 v_1) \right).\end{aligned}\tag{C2}$$

As in the main text we are treating  $s_i = s_i(\omega)$  and  $\bar{s}_i = \bar{s}_i(\omega)$  as independent variables with  $\omega > 0$  and similarly with the expectation values  $v_i$  and  $\bar{v}_i$ , which are to be considered as functions of the sources:  $v_i = v_i(s_1, s_2)$  and  $\bar{v}_i = \bar{v}_i(\bar{s}_1, \bar{s}_2)$ .

Using the derivatives given in Eq. (51) and also the constraint (41) we now find, after some calculation, the simple result

$$\frac{\partial^2 S^{(2)}}{\partial s_i \partial \bar{s}_j} = G_{ij} + G_{ij}^\dagger,\tag{C3}$$

with  $G_{ij}$  as given in Eq. (52).

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